

1 Company Information

Company Name _____ Date Established _____

Company NMLS# _____

D/B/A (if applicable) _____

Tax ID _____

Address _____

City _____ State _____ Zip _____

Main Phone Number (____) _____ Toll Free Number (____) _____

Company Website _____

Is your company privately owned? Yes No

Type of Organization: Corporation Partnership Proprietorship LLC
 Bank Savings & Loan Other _____

Parent Company: Corporation Partnership Proprietorship LLC
 Bank Savings & Loan Other _____

Parent Company Name:
Address _____

City _____ State _____ Zip _____

2 Principals/Owners

Name _____ Title _____

Date of Birth _____ Social Security _____ Percent of Ownership _____

Name _____ Title _____

Date of Birth _____ Social Security _____ Percent of Ownership _____

Name _____ Title _____

Date of Birth _____ Social Security _____ Percent of Ownership _____

Name _____ Title _____

Date of Birth _____ Social Security _____ Percent of Ownership _____

3 Primary Contact Person

Name _____ Title _____

Phone (____) _____ Email _____

4 Affiliates/Third-Party Companies

Does your company have any affiliated business relationships? Yes No

If yes, please provide the requested information below (attach additional pages if necessary):

Business Name _____ Owned By _____ % Ownership _____

Type of Services Provided _____

Does your company work with any Third-Party Companies to provide any services related to your mortgage loans, i.e. compliance, closing documents, quality control, etc? Yes No

If yes, please provide the requested information below (attach additional pages if necessary):

Business Name _____ Type of Services Provided _____

Business Address _____

5 Operations

Are loans processed & underwritten centrally or at the branch level? _____

Do you use an AMC or manage your own appraisal process? _____

Origination System _____ Pricing Engine Vendor (if used) _____

Number of Branch Locations _____ Number of Loan Originators _____
(attach list of addresses) (attach List with NMLS#s)

Are any of the additional locations net branches (paid by branch)? Yes No

If yes, please fill in the information below (attach additional pages if necessary):

Branch Name _____ Branch Manager _____ Email _____

Address _____ Phone Number (____) _____

Branch Name _____ Branch Manager _____ Email _____

Address _____ Phone Number (____) _____

6 Loan Volume

Enter the total number and total dollar amount of 1-4 unit family residential loans closed during the last two years:

	ARMs	Fixed	Government	Jumbo	Other
Previous Year					
No. of Loans					
Dollar Volume	\$	\$	\$	\$	\$

	ARMs	Fixed	Government	Jumbo	Other
Current YTD					
No. of Loans					
Dollar Volume	\$	\$	\$	\$	\$

Provide the top 5 states you originate in and the estimated percentage of your total yearly volume:

- State _____ Percentage _____
- State _____ Percentage _____
- State _____ Percentage _____
- State _____ Percentage _____
- State _____ Percentage _____

Estimated yearly sales volume to Dollar Bank: \$ _____

Current Servicing Portfolio: _____

7 Method of Funding

Number of Warehouse Lines Available _____ (for each, please provide the following)

Warehouse Lender Name _____ Line Amount _____

Expiration Date _____ Eligible Loans _____

Warehouse Lender Name _____ Line Amount _____

Expiration Date _____ Eligible Loans _____

8 Agency Approvals

	ID Number	Date Approved
<input type="checkbox"/> GNMA	_____	_____
<input type="checkbox"/> FHA	_____	_____
<input type="checkbox"/> VA	_____	_____
<input type="checkbox"/> FNMA Seller/Servicer (FHA/VA)	_____	_____
<input type="checkbox"/> FHLMC Seller/Servicer (Conv.)	_____	_____
<input type="checkbox"/> Other _____	_____	_____

Note: If the answer to any of the following questions needs further clarification, please attach additional documentation.

Has your company ever been suspended by any investor from selling or servicing mortgages?

No Yes (please explain)

Has any lender required the repurchase or indemnification of loans that your mortgage company originated?

No Yes (please explain)

Has your company (or an individual office) ever been suspended by FHA or VA?

No Yes (please explain)

Has your company ever been involved in or currently involved in any litigation?

No Yes (please explain)

Has any of your principal officers been convicted of or involved in any lawsuits regarding fraud, forgery or any other crime related to the mortgage industry?

No Yes (please explain)

Has your company and/or principal officers filed for bankruptcy protection within the last 7 years?

No Yes (please explain)

Has your company, principle officers or loan originators received disciplinary actions from any state, federal or other regulatory agencies (ex. penalties, fines, suspensions, termination, etc.)?

No Yes (please explain)

Does your company's quality control plan comply with Fannie Mae's or Freddie Mac's quality control guidelines?

No (please explain) Yes

9 Mortgage Insurance Coverage

List the mortgage insurance companies that presently insure your loans, indicating type of policy and number.

Insurer	Policy Type	Policy Number
<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>

10 Closing Procedures

Closing procedures (transfer of title and disbursement of funds and recording documents) are usually handled by:

- Designated Attorney of Lender
- Escrow Company
- Attorney for Buyer
- Mortgage Lender
- Real Estate Broker
- Title Insurance Company
- Attorney for Seller
- Other _____

11 References

List at least 3 investors to whom you sell loans. This list should include investors who represent majority of your company's sales volume and should include at least two non-agency references.

Company Name	Contact Person	Phone #	Funded Volume (last 12 Months)
_____	_____	() _____	_____
_____	_____	() _____	_____
_____	_____	() _____	_____
_____	_____	() _____	_____

12 Supporting Documents

Please furnish the following:

1. Preceding two years audited financial statements with notes on applicant and parent (if applicable). If year-end statements are older than six months, please provide year-to-date balance sheet and income statement.
2. If you are also a state or federally chartered financial institution, please provide the latest quarterly and monthly statements submitted to the FDIC.
3. Resumes of principal officers and supervisory staff.
4. Evidence of Errors and Omissions Insurance with Contractual liability coverage endorsement, if applicable.
5. Evidence of Fidelity Bond Coverage (if applicable).
6. Copy of Mortgage Broker/Banker License. Other licenses as applicable.
7. Attach application(s) for Approved Appraiser(s) status.
8. If incorporated, attached completed, fully executed applicable Corporate Resolutions.
9. Copy of the quality control system procedures presently in place with your company.

13 Signatures

The undersigned hereby certifies that this application, including all attachments and enclosures, is true and accurate and acknowledges that any misrepresentation contained herein is grounds for termination or suspension as a Dollar Bank Correspondent.

The undersigned hereby authorized Dollar Bank, its officers, employees and / or agents to obtain credit information on the applicant from any credit bureau, credit reporting agency or other credit source deemed acceptable by Dollar Bank and to verify the information contained in this application and any such credit information through any source(s) Dollar Bank deems appropriate.

Applicant _____

By _____

Title _____

Date _____

The undersigned officer, principal, owner or employee of the above-referenced applicant hereby authorizes any credit bureau or credit reporting agency to compile and furnish to Dollar Bank a credit report for the undersigned. The undersigned hereby authorizes Dollar Bank to verify the information contained therein through any source(s) Dollar Bank deems appropriate.

Name _____

Name _____

Title _____

Title _____

Signature _____

Signature _____

Date _____

Date _____

Name _____

Name _____

Title _____

Title _____

Signature _____

Signature _____

Date _____

Date _____

Send the completed application to:

Dollar Bank, FSB
Attn: Correspondent Lending
2700 Liberty Avenue, 2nd Floor
Pittsburgh, PA 15222